No. 128

SECTION: Programs

CATASAUQUA AREA SCHOOL DISTRICT

TITLE: Nondiscrimination

ADOPTED: November 10, 2005 REVISED: February 13, 2006 REVISED: October 13, 2020

#128 — Nondiscrimination

1. Policy

Public School Code § 1310; Civil Rights Act Title VI: 42 USC § 2000d et seg.; Title VII: 42 USC § 2000e et seg.; 1972 Ed. Am. Act. Title IX: 20 USC § 1681; 42 USC § 12101 et seq.; ADEA: 29 USC § 621 *et seg*.; Genetic Info. Non-Discr. Act: 42 USC § 2000ff et seq.; 29 USC § 701 et seq., esp. § 794; 34 CFR Parts 100, 104, 106, 110; Pa. Unfair Ed. Practices: 24 PA. STAT. ANN. § 5004: Pa. HRA: 43 PA. STAT. ANN. § 951 et seg.; 16 Pa. Code ch. 44, 47; PHRC guidelines;

The Board declares it to be the policy of this District to provide an equal opportunity for all students to achieve their maximum potential through the programs offered in the schools regardless of race, color, age, creed, religion, sex (including sexual orientation and sexual identity), ancestry, national origin, marital status, familial status, pregnancy, genetic information, handicap/disability, or any other legally protected classification. The District shall provide to all students, without discrimination, course offerings, counseling, assistance, employment, athletics and extracurricular activities. Discrimination is inconsistent with the educational and programmatic goals of the District and is prohibited on school property, at school-sponsored activities, and on any conveyance providing transportation to or from a school facility or school-sponsored activity.

The Board declares it to be the policy of this District to provide to all persons equal access to all categories of employment in this District, regardless of race, color, age, creed, religion, sex (including sexual orientation and sexual identity), ancestry, national origin, marital status, familial status, pregnancy, genetic information, handicap/disability, or any other legally protected classification.

Further, in all other respects, the Board declares it to be the policy of this District that no person shall be excluded from participation in, be denied the benefits of, or otherwise subjected to unlawful discrimination on the ground of race, color, age, creed, religion, sex (including sexual orientation and sexual identity), ancestry, national origin, marital status, familial status, pregnancy, genetic information, handicap/disability or any other legally protected classification with respect to any of its programs or activities or in the employment of any personnel.

The District shall make reasonable accommodations for identified physical and mental impairments that constitute disabilities, consistent with the requirements of federal and state laws and regulations.

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#128 — Nondiscrimination Page 2 1 2 3 29 CFR § Sexual Harassment and other types of unlawful Harassment (as defined 1606.8(a); below) are forms of unlawful discrimination and are expressly prohibited 4 22 Pa. Code §§ under this policy. 5 4.4, 12.1, 12.4, 6 15.1 *et seq*. The Board encourages students, employees, and third parties who believe 7 they or others have been subject to discrimination to promptly report such 8 incidents to designated employees, even if some elements of the related 9 incident took place or originated away from school property, school 10 activities, or school conveyances. 11 12 The Board directs that oral and written reports and formal complaints of 13 discrimination shall be investigated promptly, and corrective action be 14 taken when allegations are substantiated. 15 16 34 CFR § 106.71 No reprisals or retaliation may be taken against any person for making any 17 report of discrimination or filing any formal complaint under this policy in 18 good faith, or for providing information as a witness in any investigation 19 under this policy or in any related proceeding, or for assisting, participating, 20 or refusing to participate in any manner in an investigation or proceeding 21 under this policy, for the purpose of interfering with any rights or privileges 22 protected by this policy. However, the exercise of rights protected under 23 the First Amendment to the U.S. Constitution does not constitute retaliation, 24 nor does charging an individual with a code of conduct violation for making 25 a materially false statement in bad faith in the course of a proceeding under 26 this policy. A determination regarding responsibility is not sufficient by 27 itself to conclude that any person made a materially false statement in bad 28 faith. Any such reprisal or retaliation is in itself unlawful discrimination 29 prohibited by this policy and constitutes an independent violation of this **30** policy. 31 32 33 2. Definitions Harassment 34 35 29 CFR § For purposes of this policy, "harassment" shall consist of unwelcome 36 verbal, written, electronic, graphic, or physical conduct (including but 1606.8(a); 37 62 Fed. Reg. not limited to offensive jokes, slurs, epithets, and name-calling, ridicule 38 or mockery, insults or put-downs, offensive objects or pictures, 12033 (3/13/97); 39 66 Fed. Reg. 5512 physical assaults or threats, intimidation, or other conduct which may 40 (1/19/01)be harmful or humiliating) relating to an individual's race, color, 41 national origin/ethnicity, ancestry, \sex (including sexual orientation 42 and sexual identity), age, disability/handicap, religion, creed, marital 43 status, familial status, pregnancy, genetic information, or any other 44 legally protected classification when such conduct: 45 46 47 48

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	1. Is sufficiently severe, persistent, or pervasive that it affects an individual's ability to participate in or benefit from an education program or activity or to perform job functions, or creates an educational or work environment that a reasonable person would consider intimidating, hostile, or abusive.	
	2. Has the purpose or effect or of substantially or unreasonably interfering with an individual's academic or work performance.]
	3. Otherwise adversely affects an individual's learning or employm opportunities.	1
	The term "harassment" also includes all activity which constitutes "sexual harassment."	1 1 1
34 CFR § 106.30(a)	B. <u>Sexual Harassment</u>	1 1 2
	For purposes of this policy, "sexual harassment" means conduct on basis of sex that satisfies one or more of the following:	
	 An employee of the District conditioning the provision of an aid benefit, or service of the District (including employment) on an individual's participation in unwelcome sexual conduct; 	,
	2. Unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the District's education program activity (including employment);	or 3
20 USC § 1092(f)(6)(A)(v)	3. Sexual assault — an offense classified as a forcible or nonforcib sex offense under the uniform crime reporting system of the Fed Bureau of Investigation;	
34 USC § 12291(a)(10)	4. Dating violence — violence committed by a person—	
	a. who is or has been in a social relationship of a romantic or intimate nature with the victim; and	2
	b. where the existence of such a relationship shall be determine based on a consideration of the following factors:	d 4
	i. the length of the relationship.	4
	ii. the type of relationship.	4
	iii. the frequency of interaction between the persons involved the relationship;	d in

#128 — Nondiscrimination Page 4 1 2 3 34 USC § 5. Domestic violence — felony or misdemeanor crimes of violence committed by a current or former spouse or intimate partner of the 12291(a)(8) 4 victim, by a person with whom the victim shares a child in 5 common, by a person who is cohabitating with or has cohabitated 6 with the victim as a spouse or intimate partner, by a person 7 similarly situated to a spouse of the victim under the domestic or 8 family violence laws of the Commonwealth of Pennsylvania, or by 9 any other person against an adult or youth victim who is protected 10 from that person's acts under the domestic or family violence laws 11 of the Commonwealth; or 12 13 6. Stalking — engaging in a course of conduct directed at a specific 14 34 USC § 12291(a)(30) person that would cause a reasonable person to— 15 16 a. fear for his or her safety or the safety of others; or 17 18 b. suffer substantial emotional distress. 19 20 Examples of conduct that may constitute sexual harassment include, 21 but are not limited to, sexual flirtations, advances, touching or 22 propositions; verbal abuse of a sexual nature; graphic or suggestive 23 comments about an individual's dress or body; sexually degrading 24 words to describe an individual; jokes; pin-ups; calendars; objects; 25 graffiti; vulgar statements; abusive language; innuendoes; references to 26 sexual activities; overt sexual conduct; or any conduct that has the 27 effect of unreasonably interfering with a student's ability to work or 28 learn or creates an intimidating, hostile, or offensive leaning or working 29 environment **30** 31 **32** This policy covers, without limitation, sexual harassment by a student to another student; a student to an employee; an employee to a student; 33 an employee; a third party to a student or employee; a 34 student or employee to a third party; a male to a male; a female to a 35 female; a male to a female; and a female to a male. 36 37 38 34 CFR § 106.30 C. Parties 39 40 For purposes of this policy— 41 42 1. "Complainant" means an individual who is alleged to be the victim 43 of conduct that could constitute sexual harassment or other 44 prohibited discrimination. Where the Title IX Coordinator or 45 Compliance Officer signs a formal complaint, the Title IX 46 Coordinator or Compliance Officer is not a "complainant" or 47 otherwise a party to the complaint proceeding. 48 49

The District shall maintain as confidential any supportive measures provided to the complainant or respondent, to the extent that maintaining such confidentiality would not impair the ability of the District to provide the supportive measures. The Compliance Officer is responsible for coordinating the effective implementation of supportive measures.

2. Delegation of Responsibility

34 CFR § 106.8

In order to maintain a program of nondiscrimination practices and a learning and working environment that is in compliance with applicable laws and regulations, the Board designates the Assistant Superintendent as the District's Compliance Officer to serve as the Title IX Coordinator for purposes of Title IX of the Educational Amendments Act of 1972, and the coordinator and/or compliance official for purposes of the Americans with Disabilities Act of 1990, Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, and all other nondiscrimination statutes and regulations. In all matters relating to discrimination on the basis of sex, the District shall refer to the Compliance Officer as the Title IX Coordinator.

The Compliance Officer shall notify applicants for employment, students, parents or legal guardians of school students, employees, and all unions or professional organizations holding collective bargaining agreements or professional agreements with the District—

- of the name or title, office address, electronic mail address, and telephone number of the employee designed as the Title IX Coordinator and Compliance Officer;
- 2. that the District does not discriminate on the basis of sex, race, color, age, creed, religion, ancestry, national origin, marital status, familial status, pregnancy, genetic information, handicap/disability, or any other legally protected classification, in the educational programs and activities the District operates (including employment), and that it is required by Title IX of the Educational Amendments Act of 1972, regulations under Title IX, and other federal and state statutes and regulations, not to discriminate in such a manner. Inquiries about the application of Title IX and its regulations, and other nondiscrimination statutes and regulations, to the District may be referred to the Title IX Coordinator/Compliance Officer, to the Assistant Secretary for Civil Rights of the U.S. Department of Education, or both; and

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		a. Development of position qualifications, job descriptions essential job functions.	and
		b. Recruitment materials and practices.	(
		c. Procedures for screening, interviewing and hiring.	
		d. Performance evaluations.	10
		e. Promotions.	1 1
		f. Disciplinary actions, up to and including terminations.	11
34 CFR § 106.45	7.	Reports and Complaints — Administration of the reporting a complaint procedure provided in this policy. Any provision or practices utilized by the District as part of its grievance procedure for handling formal complaints of sexual harassment must a equally to complainants and respondents, unless otherwise reby 34 CFR § 106.45.	s, rules, 1 rocess 1 pply 1 equired 2
34 CFR § 106.45(b)(10)	8.	Recordkeeping — Creating and maintaining for a period of (7) years records of—	seven 2. 2. 2. 2.
		a. each investigation of prohibited discrimination or harass including any determination regarding responsibility, and disciplinary sanctions imposed on the respondent, and ar remedies provided to the complainant designed to restore preserve equal access to the District's education program activities; and any appeal and the result therefrom;	ment, y 2 2 2 2 2 2 2 2 2 3 3 3 3 3
		b. any actions taken for each response to a report of prohib discrimination or harassment or knowledge of prohibited discrimination or harassment, whether or not a formal complaint was filed, including the basis for concluding the District's response was not deliberately indifferent and the District has taken measures to restore or preserve equal at the total District's educational programs and activities. If it is situation the District did not provide a complainant with Supportive Measures, the documentation shall also included as the supportion of the known circumstances.	1 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3.
	9.	Resources — Maintaining and providing information to staf resources available to alleged victims.	f on 4.4.4.4.4.4

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Each employee shall be responsible to maintain a learning and working environment free from all forms of unlawful discrimination and harassment, and to report all incidents of unlawful discrimination or harassment which he/she observes or is informed about to the building principal or Compliance Officer.

Each student shall be responsible to respect the rights of their fellow students and District employees and to ensure an environment free from all forms of unlawful discrimination and harassment, and to report all incidents of unlawful discrimination or harassment which he/she observes or is informed about to the building principal or Compliance Officer.

5. Complaint Procedure

A. Reporting Violations; Filing Formal Complaints

A student (or his/her parent/guardian), employee, or third party who believes he/she or any other person has been subject to conduct that constitutes a violation of this policy is encouraged to report the incident to the building principal as soon as possible. For employees assigned to the District Administration Office, the relevant building principal is the principal of Sheckler Elementary School. A student may also report incidents/violations to any teacher, coach/advisor, counselor, or administrator.

Any school employee who suspects or is notified that a student has been subject to conduct that constitutes a violation of this policy, shall report the incident to the building principal as soon as possible (not later than twenty-four (24) hours unless extenuating circumstances justify a reasonable extension of that deadline) and shall make proper and timely police and child protective services reports required by law. If it is not possible to contact the building principal in a timely fashion, the school employee shall report the incident to the Compliance Officer or another building principal as soon as possible.

If the building principal is the subject of the report or otherwise not impartial, the student (or his/her parent or guardian), third party, or employee shall report the incident directly to the Compliance Officer. If the Compliance Officer is also a subject of the report or otherwise not impartial, the report shall be made to another building principal.

Notwithstanding the preceding paragraphs, any person may report any discrimination prohibited by this policy, including sexual harassment, whether or not the person reporting is the alleged victim of the discrimination or harassment, in person, by mail, by telephone, or by electronic mail, using the contact information listed for the Title IX Coordinator and Compliance Officer, or by any other means that results in the Title IX Coordinator/Compliance Officer receiving the person's

verbal or written report. Such a report may be made at any time (including non-business hours) by using the telephone number or electronic mail address, or by mail to the office address listed for the Title IX Coordinator/Compliance Officer.

If the incident was initially reported orally, by a person other than the alleged victim, or in any manner other than a formal complaint, the building principal or Compliance Officer shall inform the alleged victim of the right to file a formal complaint and the formal complaint procedure, and shall promptly provide all necessary forms and assistance to enable the complainant to file an appropriate formal complaint if he/she so desires. Formal complaints shall be filed with the building principal, the Compliance Officer (in person, by mail, or by electronic mail using the contact information listed for the Title IX Coordinator/Compliance Officer), or any other building principal if either of them are the subject of the formal complaint or otherwise not impartial.

Where the alleged victim is under the age of eighteen (18) years, the person receiving the report shall seek to obtain a formal complaint from the minor's parents/guardians, and inform them that the minor may be accompanied by a parent/guardian during all steps of the formal complaint procedure.

The building principal or Compliance Officer shall inform any complainant or potential complainant who is a minor that he/she may be accompanied by a parent/guardian during all steps of the complaint procedure.

The person receiving an oral or written report may provide factual information on the complaint and investigation process, the impact of choosing to seek confidentiality, the rights to file criminal charges, and relevant information on available resources besides the school complaint procedure, such as domestic violence or rape crisis programs and community health resources including counseling resources. In all other respects, the person receiving the report or complaint shall handle the case objectively, neutrally, and professionally, setting aside personal biases that might favor or disfavor the complainant or those accused of a violation of this policy.

Formal complaints shall be filed within thirty (30) calendar days after any incident alleged to constitute a violation of this policy or they shall be deemed untimely, unless special circumstances exist which justify a later filing.

Even if no formal complaint has been filed by or on behalf of a person who alleges he/she has been subjected to conduct that constitutes a violation of this policy, any building principal or other administrator who knows or receives a report of a possible violation of this policy shall inform the Compliance Officer. The Compliance Officer shall conduct or cause an informal investigation to be made if it does not appear that a formal complaint will be forthcoming. If the informal investigation suggests that there has been a violation of this policy, the Compliance Officer shall review the matter, conduct such further investigation as he/she deems appropriate, and, if he/she concludes that there has been a violation of this policy, the Compliance Officer shall take prompt, corrective action to ensure that conduct violating this policy ceases and will not recur, and to remedy any effects of the violation for which the District is responsible.

Revised Sexual Harassment Guidance: Harassment of Students by School Employees, Other Students, or Third Parties (January 19, 2001) (U.S. Department of Education, Office of Civil Rights) Although a victim's refusal to file a formal complaint may limit the District's ability to respond fully to a report of prohibited discrimination or harassment, other means may be available to address the discrimination or harassment, such as conducting discrimination or harassment training for the school where the problem occurred, taking a student or employee survey concerning any problems with discrimination or harassment, or implementing other systemic measures at the school where the alleged discrimination or harassment has occurred.

In addition, by investigating the report to the extent possible, the District may learn about or be able to confirm a pattern of discrimination or harassment based on claims by different persons that they were discrimination against or harassed by the same individual, and identify other persons who may be willing to file a formal complaint. In some situations there may be prior reports by former students or employees who now might be willing to come forward and be identified, thus providing a basis for further corrective action. In instances affecting a number of individuals, an individual can be put on notice of allegations of discriminating or harassing behavior and counseled appropriately without revealing, even indirectly, the identity of the person(s) who notified the District.

34 CFR § 106.44(a)

Upon learning of a report or a formal complaint of discrimination under this policy, the Compliance Officer shall promptly contact the complainant to discuss the availability of Supportive Measures, consider the complainant's wishes with respect to Supportive Measures, inform the complainant of the availability of Supportive Measures with or without the filing of a formal complaint, and explain to the complainant the process for filing a formal complaint. The Compliance Officer shall implement any appropriate Supportive Measures.

- 5. District personnel shall not restrict the ability of either party to discuss the allegations under investigation or to gather and present relevant evidence.
- 6. The burden of proof and the burden of gathering evidence sufficient to reach a determination regarding responsibility rests on the District and not on the parties.
- 7. The standard of evidence to be used to determine responsibility in all formal complaint proceedings is the preponderance of the evidence standard.
- 8. No investigator or decision-maker may require, allow, rely upon, or otherwise use questions or evidence that constitute, or seek disclosure of, information protected under a legally recognized privilege, unless the person holding such privilege has waived the privilege.
- 9. No investigator, decision-maker, or other District personnel may access, consider, disclose, or otherwise use a party's records that are made or maintained by a physician, psychiatrist, psychologist, or other recognized professional or paraprofessional acting in the professional's or paraprofessional's capacity, or assisting in that capacity, and which are made and maintained in connection with the provision of treatment to the party, unless the District obtains that party's voluntary, written consent to do so for a formal complaint proceeding under this policy. If the party is not an "eligible student" as defined in 34 CFR § 99.3, the District must obtain the voluntary, written consent of a "parent" as defined in 34 CFR § 99.3.
- 10. Questions and evidence about a complainant's sexual predisposition or prior sexual behavior are not relevant, unless such questions and evidence about the complainant's prior sexual behavior are offered to prove that someone other than the respondent committed the conduct alleged by the formal complaint, or if the questions and evidence concern specific incidents of the complainant's prior sexual behavior with respect to the respondent and are offered to prove consent.
- 11. If at any time in a formal complaint proceeding involving allegations of sexual harassment, it is found that any conduct alleged in the formal complaint would not constitute "sexual harassment" as defined in this policy, even if proved, did not occur in the District's education programs or activities, or did not occur against a person in the United States, then the Compliance Officer shall dismiss the formal complaint with regard to that conduct for

#128 — Nondiscrimination Page 15 1 2 3 purposes of sexual harassment. The Compliance Officer shall promptly send written notice of the dismissal and the reason(s) 4 therefor simultaneously to all parties. 5 6 7 12. The Compliance Officer may dismiss the formal complaint or any allegations therein if, at any time in the proceeding: 8 9 10 a. a complainant notifies the Compliance Officer in writing that the complainant would like to withdraw the formal complaint or 11 any allegations therein; 12 13 14 b. the respondent is no longer enrolled in or employed by the District; or 15 16 c. specific circumstances prevent the District from gathering 17 evidence sufficient to reach a determination as to the formal 18 complaint or allegations therein. 19 20 The Compliance Officer shall promptly send written notice of the 21 dismissal and the reason(s) therefor simultaneously to all parties. 22 23 13. The Compliance Officer may consolidate formal complaints against 24 more than one respondent, or by more than one complainant against 25 one or more respondents, or by one party against the other party, 26 where the allegations of discrimination or harassment arise out of 27 the same facts and circumstances. 28 29 30 Notice of Allegations 34 CFR § 31 106.45(b)(2) 32 33 Upon receipt of a formal complaint, the Compliance Officer shall provide written notice of the following to the parties who are known: 34 35 1. A copy of this policy, including notice that the procedures for a 36 formal complaint proceeding are set forth in this policy; 37 38 **39** 2. The allegations of discrimination or harassment against the respondent, including sufficient details known at the time, including 40 the identities of the parties involved in the incident (if known), the 41 conduct allegedly constituting prohibited discrimination or 42 harassment, and the date(s) and location(s) of the alleged incident 43 (if known); 44 45 3. A statement that the respondent is presumed not responsible for the 46 alleged conduct and that a determination regarding responsibility is 47 made at the conclusion of the formal complaint procedure; 48 49 4. Each party may have an advisor of their choice, who may be, but is not required to be, an attorney, who may accompany them to any meeting or proceeding and may inspect and review any evidence obtained as part of the investigation that is directly related to the allegations of discrimination or harassment.

D. Appointment of Investigator

Upon receiving a formal complaint of discrimination or harassment, the building principal shall immediately notify the Compliance Officer. (If the Compliance Office is the subject of the complaint or otherwise not impartial, the building principal shall inform the Superintendent, who shall direct another District administrator to perform the duties of the Compliance Officer with respect to this particular formal complaint.) The Compliance Officer shall authorize the building principal to investigate the formal complaint, unless the building principal is the subject of the formal complaint, is otherwise not impartial, or is unable to conduct the investigation. In the latter case, the Compliance Officer shall authorize another building principal or administrator who is not the subject of the formal complaint and who is impartial to conduct the investigation. If no administrators are able to conduct the investigation, the Superintendent shall designate a qualified and willing administrator from the Carbon-Lehigh Intermediate Unit or a school district within that Intermediate Unit to conduct the investigation.

District employees and officials shall ensure that the investigator shall be appointed and initiate a formal investigation within forty-eight (48) hours after the filing of a formal complaint under this policy, unless extenuating circumstances justify a reasonable extension of that deadline.

In the event the person designated to conduct the investigation shall be unable to complete the investigation in a timely manner, the Compliance Officer shall authorize another appropriate person to complete the investigation.

34 CFR § 106.45(b)(5)

E. <u>Rights During Formal Complaint Procedure</u>

The building principal or other person designated to conduct the investigation shall be responsible to:

1. Provide the opportunity for participation by the parents/guardian of a minor student at any conference, meeting, or hearing with a student and in presenting information.

- 2. Provide each party with the opportunity to have an advisor of their choice, who may be, but is not required to be, an attorney, who may accompany them to any investigative interview, meeting, conference, or proceeding at which the party will be present, and who may inspect and review any evidence obtained as part of the investigation that is directly related to the allegations of discrimination or harassment. The investigator may establish restrictions regarding the extent to which the advisor may participate in the proceedings, so long as the restrictions apply equally to both parties.
- 3. Provide, to a party whose participation is invited or expected, written notice of the date, time, location, participants, and purpose of all investigative interviews, meetings, conferences, or hearings, with sufficient time for the party to prepare to participate.
- 4. Provide an equal opportunity for the complainant and the respondent to present witnesses, including fact and expert witnesses, and other inculpatory and exculpatory evidence.
- 5. Take all necessary interim actions reasonably calculated to prevent any other violations of this policy prior to the completion of the formal complaint procedure.
- 6. Provide both parties an equal opportunity to inspect and review any evidence obtained as part of the investigation that is directly related to the allegations raised in the formal complaint, including evidence that the investigator does not intend to rely upon in reaching a determination regarding responsibility, and including inculpatory or exculpatory evidence whether obtained from a party or other source, so that each party can meaningfully respond to the evidence prior to the conclusion of the investigation.
- 7. Comply with the confidentiality requirements of this policy.
- 8. Notify the complainant and the respondent of the status of the investigation and formal complaint procedure on a periodic basis and at appropriate stages of the procedure.
- 9. Insure that when a complaint of sexual harassment has been filed by or on behalf of a female student or employee, a female counselor shall be present at all discussions and meetings with the student or employee (unless waived by the student or employee); and that when a complaint of sexual harassment has been filed by or on behalf of a male student or employee, a male counselor shall be present at all discussions and meetings with the student or employee (unless waived by the student or employee).

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After the investigation has been completed, the Compliance Officer shall be responsible to implement the above requirements until the conclusion of the formal complaint procedure.

F. Investigation

34 CFR § 106.45(b)(2)(i)(B)

The investigator shall conduct an adequate, reliable, and impartial investigation. The formal investigation shall include individual interviews with the complainant, the respondent, and others with knowledge relative to the incident. The investigator shall not conduct an initial interview with the respondent until the respondent has had sufficient time after receiving written notice of the allegations of the formal complaint to prepare a response. The investigator may also evaluate any other information and materials relevant to the investigation, and shall consider all information presented by the complainant and the respondent. When the complaint includes allegations relating to conduct which took place away from school property, school-sponsored activities, or school conveyances, the investigation may include inquiries related to these allegations to determine whether they resulted in continuing effects (such as harassment) in school settings. Every attempt will be made to conduct and complete the investigation as thoroughly and speedily as possible.

All parties, reporters, witnesses, and parents/guardians involved in the formal complaint and investigation shall be informed of the prohibition against retaliation for anyone's participation in the process, and that conduct believed to be retaliatory should be reported. All persons providing statements or other information or participating in the investigation shall be instructed to keep the matter confidential and to report any concerns about confidentiality to the investigator.

If the investigation reveals that conduct being investigated may involve a violation of criminal law, the investigator shall promptly notify the Compliance Officer, who shall promptly inform law enforcement authorities. All District employees shall satisfy their reporting obligations under the child protective services laws.

The obligation to conduct this investigation shall not be negated by the fact that a criminal, child protective services, or insurance investigation of the incident is pending or has been concluded. The investigator should coordinate with any other ongoing investigations of the allegations, including agreeing to requests for a short delay in fulfilling the District's investigative responsibilities during the fact-finding portion of a criminal or child protective services investigation, so long as the delay does not extend beyond the time necessary to prevent interference with or disruption of the criminal or child protective services investigation.

34 CFR § 106.45(b)(5)(vii), (6)(ii), (7)

H. <u>Determination</u>

After the investigative report has been issued and sent to the parties, the Compliance Officer shall forward the report to the Superintendent as the decision-maker for the purposes of making a determination regarding responsibility. If the Superintendent is the subject of the formal complaint or otherwise not impartial, the Compliance Officer shall designate another District administrator (other than the Compliance Officer or an investigator in the matter) as the decision-maker. If an appropriate administrator cannot be designated, the Compliance Officer shall designate a qualified and willing administrator from the Carbon-Lehigh Intermediate Unit or a school district within that Intermediate Unit as the decision-maker.

Before reaching a determination regarding responsibility, the decision-maker must afford each party the opportunity to submit written, relevant questions that a party wants asked of any party or witness, provide each party with the answers, and allow for additional, limited follow-up questions from each party. The decision-maker must explain to the party proposing the questions any decision to exclude a question as not relevant. In no event shall a determination be made until at least ten (10) calendar days after the investigative report was sent to the parties.

Following his/her review of the investigative report, the evidence presented in the matter, and permitted responses and additional evidence submitted after the issuance of the investigative report, the decision-maker shall issue a written determination regarding responsibility, applying the standard of evidence described in part B(7) above, and send it to the Compliance Officer. The written determination shall include:

- 1. Identification of the allegations potentially constituting prohibited discrimination or harassment.
- 2. A description of the procedural steps taken from the receipt of the formal complaint through the determination, including any notifications to the parties, interviews with parties and witnesses, site visits, and methods used to gather other evidence.
- 3. Findings of fact supporting the determination.
- 4. Conclusions regarding the application of the District's code of conduct to the facts.

- 5. A statement of, and rationale for, the result as to each allegation, including a determination regarding responsibility, any disciplinary sanctions the District imposes on the respondent, and whether remedies designed to restore or prevent equal access to the District's education programs and activities (including employment) will be provided by the District to the complainant.
- 6. The District's procedures and permissible bases for the complainant and respondent to appeal (as described in part I below).

The Compliance Officer shall provide the written determination to the parties simultaneously.

If a timely appeal is not filed, the determination regarding responsibility becomes final the date on which an appeal would no longer be considered timely.

34 CFR § 106.45(b)(1)(v), (b)(8)

I. Appeal

Either the complainant or the respondent may submit a written appeal of a determination regarding responsibility or a dismissal of a formal complaint or any allegations thereon to the Compliance Officer within ten (10) calendar days after receipt of the determination or dismissal, *but only* on one or more of the following bases:

- 1. Procedural irregularity that affected the outcome of the matter;
- 2. New evidence that was not reasonably available at the time the determination regarding responsibility or dismissal was made, that could affect the outcome of the matter; and
- 3. The Compliance Officer, investigator(s), or decision-maker(s) had a conflict of interest or bias for or against complainants or respondents generally or the individual complainant or respondent that affected the outcome of the matter.

If the Compliance Officer is the subject of the formal complaint or otherwise not impartial, the appeal shall be submitted to the Superintendent, who shall thereafter perform the duties of the Compliance Officer in the particular matter

Upon receipt of an appeal, the Compliance Officer shall notify the other party in writing, and implement appeal procedures equally for both parties. The decision-maker on appeal shall be the District Solicitor, unless he/she is not impartial, in which case the Compliance Officer shall designate a qualified and willing administrator from the Carbon-Lehigh Intermediate Unit or a school district within that Intermediate Unit to be the decision-maker on appeal. The Compliance Officer shall ensure that the training required under Part 4(2) of this policy is provided to the decision-maker on appeal.

The decision-maker shall give both parties a reasonable, equal opportunity to submit a written statement in support of, or challenging the outcome, and to present evidence related to the permissible bases for the appeal.

The decision-maker shall thereafter issue a written decision describing the result of the appeal and the rationale for the result, and send it to the Compliance Officer.

The Compliance Officer shall provide the written decision to the parties simultaneously.

The determination on appeal regarding responsibility becomes final on the date the District provides the parties with the written determination of the results of the appeal.

J. District Action

If the investigation or appeal results in a finding that the formal complaint is factual and constitutes a violation of this policy, the District shall take prompt, corrective action reasonably calculated to ensure that such conduct ceases and will not recur, to prevent any retaliation, and to address the discriminatory effect the prohibited conduct had on the complainant and the school program and employment environments. Remedies for violations of this policy must be designed to restore or preserve equal access to the District's educational programs and activities. They may include the same individualized services which constitute Supportive Measures, but the remedies may also be disciplinary, punitive, or burdening to the respondent

District action within the authority of administration shall be implemented as set forth in the final decision of the decision-maker or appeals officer. Recommendations for District action which require Board approval and/or the approval of persons or entities other than the District or its officers or employees shall not be implemented unless so approved.

34 CFR § 106.45(b)(7)(iii)

34 CFR § 106.45(b)(1)(i)

Any student or employee who is found to have engaged in conduct constituting unlawful discrimination or harassment in violation of this policy shall be subject to discipline up to and including suspension or expulsion from school or suspension or termination of employment. Disciplinary actions and procedures shall be consistent with the Student Code of Conduct, Board policies and District procedures, applicable collective bargaining agreements, the Public School Code, and other applicable state and federal laws, rules, regulations, and constitutional requirements.

34 CFR § 106.45(b)(7)(iv)

The Compliance Officer is responsible for effective implementation of any remedies, shall document all corrective action taken, and follow up by assessing the effectiveness of the corrective action at reasonable intervals.

34 CFR § 106.71(a)

K. Confidentiality

The District shall keep confidential the identity of any individual who has made a report or formal complaint of prohibited discrimination or harassment, any complainant, any individual who has been reported to be the perpetrator of prohibited discrimination or harassment, any respondent, and any witness, except as may be permitted by the FERPA statute, 20 USC § 1232g, or FERPA regulations, 34 CFR part 99, or as required by law, including the District's legal and investigative obligations to respond effectively to discrimination and provide a safe and nondiscriminatory environment for all students and employees, or to carry out the purposes of 34 CFR part 106, including the conduct of any investigation, hearing, or judicial proceeding arising thereunder. The District shall not disclose the name of an alleged victim to an alleged violator/perpetrator/harasser if the alleged victim or the parent/guardian of a minor student so requests and no formal complaint has been filed, but the scope of a reasonable response to the report of alleged discrimination or harassment may be limited as a result (e.g., disciplinary action against an accused individual may be limited or precluded). The investigator or Compliance Officer shall explain these limitations to any person requesting confidentiality, explain the district's legal obligations to conduct an investigation and address violations of this policy, and that the law and this policy prohibits retaliation and that the District will take steps to prevent retaliation and will take strong responsive actions if retaliation occurs.

Notwithstanding anything to the contrary in this policy, while a complainant has the right to learn the outcome of his/her report or formal complaint (*i.e.*, to learn whether the matter was investigated and whether discrimination/harassment was found and responsibility determined), the District shall not disclose to a complainant any

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sanction or discipline recommended or imposed upon a studer employee to the extent such disclosure is prohibited by the Far Educational Rights and Privacy Act (FERPA) or other applica regulations, rules, constitutional requirements, or orders. (An exception may apply, for example, in the case of a sanction the relates to the victim, such as an order that a violator/perpetrate harasser stay away from the victim.)	mily ble laws, at directly

Catasauqua Area School District

Form for Complaint of Unlawful Discrimination or Harassment (Policy #128)

Name of Complainant:					
Address:					
	Evening Telephone:				
I am: (circle as appropriate)	Student	Parent/Guar	dian of Stud	lent E	mployee
	Resident	Oth	ner		
Basis for allegation: (circle al	l which appl	y) Race	Color	Age	Religion/Creed
S	ex/Gender	Sexual Orie	ntation A	ncestry	National Origin
Г	oisability/Ha	ndicap	Familial S	Status	Other
Name(s) of person(s) you beli	eve violated	the district's 1	nondiscrimi	nation pc	olicy:
If the alleged discrimination/h	arassment w	as directed ag	ainst anothe	r person((s), identify the other
person(s):					
When and where the incident	occurred:				
List any witnesses who were p	oresent:				
Describe the incident as clearly and any actions or activities.				eats, rem	narks, comments, etc.,
This complaint is based on my discriminated against/harassec complaint is true, correct, and	l me or anoth	ner person. I o	certify that t	he inforn	nation provided in this
			Receive	d by:	
Complainant's Signature		Date	Date:		